

Intrinsic Randomness of Kolmogorov \mathbb{Z}^d -Actions on a Lebesgue Space

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We introduce a concept of an intrinsically weak and strong randomness of a \mathbb{Z}^d -action on a Lebesgue space and we show that Kolmogorov \mathbb{Z}^d -actions are intrinsically weak random, and Bernoulli \mathbb{Z}^d -actions are intrinsically strong random.

KEY WORDS: Intrinsically weak randomness; intrinsically strong randomness; Kolmogorov \mathbb{Z}^d -actions; Bernoulli \mathbb{Z}^d -actions.

INTRODUCTION

The concept of an intrinsic randomness for one-dimensional dynamical systems (actions of the group \mathbb{Z} on a Lebesgue space) has been introduced by several authors (see ref. 2 and references therein).

The intrinsically random systems are conjugated via a Markovian operator with a non-invertible semigroup of Markovian operators which monotonically converges to equilibrium.

It is shown in ref. 2 that all Kolmogorov systems are intrinsically random.

Our aim is to consider a multidimensional analogue of the concept of the intrinsic randomness.

In this paper, we define concepts of an intrinsically weak randomness (IWR) and an intrinsically strong randomness (ISR) of a \mathbb{Z}^d -action. These definitions contain, apart from the direct extension of the one-dimensional properties, a continuity condition, which has no corresponding property in

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the one-dimensional case. It is connected with the fact that the group \mathbb{Z}^d equipped with the lexicographical order has gaps.

First we show that IWR \mathbb{Z}^d -actions are weakly mixing and ISR \mathbb{Z}^d -actions are not rigid.

Our main result says that all Kolmogorov (Bernoulli) \mathbb{Z}^d -actions satisfy the IWR (ISR) condition. If we interpret a \mathbb{Z}^d -action on a Lebesgue space as a temporal dynamical system with $d-1$ symmetries, then an IWR (ISR) \mathbb{Z}^d -action is conjugated to a semigroup of Markovian operators which converges to equilibrium both along time evolution and the action of the symmetries.

RESULT

Let (X, \mathcal{B}, μ) be a Lebesgue probability space and let \mathcal{N} denote the trivial sub- σ -algebra of \mathcal{B} .

We denote by \mathbb{Z}^d the group of d -dimensional integers. Let \prec be the lexicographical order of \mathbb{Z}^d and let $\Pi(N)$ stand for the set of positive (negative) vectors of \mathbb{Z}^d with respect to \prec .

Let Φ be a \mathbb{Z}^d -action on (X, \mathcal{B}, μ) , i.e., Φ is a homomorphism of \mathbb{Z}^d into the group $\text{Aut}(X, \mu)$ of all measure-preserving automorphisms of (X, \mathcal{B}, μ) .

We denote by Φ^g the automorphism of (X, \mathcal{B}, μ) , being the image of $g \in \mathbb{Z}^d$ under Φ .

Let $U = U_\Phi$ be the unitary representation of \mathbb{Z}^d in $L^2(X, \mu)$ defined by the formula

$$U^g f = f \circ \Phi^g, \quad f \in L^2(X, \mu), \quad g \in \mathbb{Z}^d$$

i.e., U acts by the Koopman operators associated with the automorphisms Φ^g , $g \in \mathbb{Z}^d$.

For a given σ -algebra $\mathcal{A} \subset \mathcal{B}$ and a function $f \in L^1(X, \mu)$, we denote by $E^{\mathcal{A}} f$ the conditional expectation of f given \mathcal{A} . In particular, we put

$$E f = E^{\mathcal{N}} f = \int_X f d\mu$$

We apply in the sequel the following well known property of conditional expectations

$$U_\varphi \circ E^{\mathcal{A}} = E^{\varphi^{-1}\mathcal{A}} \circ U_\varphi \tag{1}$$

where φ is a given measure-preserving automorphism of (X, \mathcal{B}, μ) and U_φ is the Koopman unitary operator associated with φ .

For a given finite measurable partition P of X , we denote by $h(P, \Phi)$ the mean entropy of P with respect to Φ and by $h(\Phi)$ the entropy of Φ .^(1,7)

Now we recall the definition of a Kolmogorov action (K-action) of \mathbb{Z}^d on a Lebesgue space.^(1,5)

An ordered pair (A, B) of subsets of \mathbb{Z}^d is said to be a cut if they form a non-trivial partition of \mathbb{Z}^d and for every $g \in A$ and $h \in B$ we have $g < h$.

A cut (A, B) is called a gap if A does not contain the greatest element and B does not contain the lowest element.

Definition 1. A \mathbb{Z}^d -action Φ is said to be a K-action if there exists a σ -algebra $\mathcal{A} \subset \mathcal{B}$ with

(i) $\Phi^g \mathcal{A} \subset \mathcal{A}$ for every $g \in \Pi$,

(ii) the family $(\Phi^g \mathcal{A}), g \in \mathbb{Z}^d$ is continuous, i.e., for every gap (A, B) of \mathbb{Z}^d it holds

$$\bigvee_{g \in A} \Phi^g \mathcal{A} = \bigcap_{g \in B} \Phi^g \mathcal{A}$$

(iii) $\bigvee_{g \in \mathbb{Z}^d} \Phi^g \mathcal{A} = \mathcal{B}$,

(iv) $\bigcap_{g \in \mathbb{Z}^d} \Phi^g \mathcal{A} = \mathcal{N}$.

It has been shown in ref. 5 that Φ is a K-action iff Φ has a completely positive entropy, i.e., $h(P, \Phi) > 0$ for every non-trivial finite measurable partition P of X .

The well-known examples of K-actions of \mathbb{Z}^d are Bernoullian actions and Gaussian actions with absolutely continuous spectral measures.

Goldstein has shown [4, Theorem 6.3] that every Poisson system of periodic σ -K-type (and in particular the Lorentz gas) is a K-action.

For simplicity of notations, we restrict ourselves in the sequel to the case $d=2$. All our considerations may be easily extended to the general case.

A \mathbb{Z}^2 -action Φ is uniquely determined by the automorphisms $T = \Phi^{(1,0)}$ and $S = \Phi^{(0,1)}$. We call them the standard automorphisms defined by Φ .

It is easy to observe that the definition of a K-action may be written by the use of these automorphisms in the following way:

(i') $S^{-1} \mathcal{A} \subset \mathcal{A}, T^{-1} \mathcal{A}_S \subset \mathcal{A}$

where

$$\mathcal{A}_S = \bigvee_{n=-\infty}^{+\infty} S^n \mathcal{A}$$

$$(ii') \quad \bigcap_{n=-\infty}^{\infty} S^n \mathcal{A} = T^{-1} \mathcal{A}_S,$$

$$(iii') \quad \bigvee_{n=-\infty}^{+\infty} T^n \mathcal{A}_S = \mathcal{B},$$

$$(iv') \quad \bigcap_{n=-\infty}^{+\infty} T^n \mathcal{A}_S = \mathcal{N}.$$

A linear operator W of $L^2(X, \mu)$ is said to be doubly stochastic if it is positive, $W1 = 1$ and $E \circ W = E$, i.e., if it is a Markov operator preserving μ .

We shall consider in the sequel weak (w) and strong (s) limits of functions defined on Π , and taking values in some Banach space.

We write

$$f(g) \xrightarrow{w} x \quad \text{as } g \rightarrow \infty$$

if for any $\varepsilon > 0$ there exists $g_o \in \Pi$ with $\|f(g) - x\| < \varepsilon$ for all $g \succ g_o$.

On the other hand the notation

$$f(g) \xrightarrow{s} x \quad \text{as } g \rightarrow \infty$$

means that for any $\varepsilon > 0$, there exists a finite subset $F \subset \Pi$ such that $\|f(g) - x\| < \varepsilon$ for all $g \in \Pi \setminus F$.

It is clear that

$$f(g) \xrightarrow{s} x \implies f(g) \xrightarrow{w} x \quad \text{as } g \rightarrow \infty$$

Definition 2. A \mathbb{Z}^2 -action Φ is called intrinsically weak (strong) (IWR (ISR)) random if there exists a doubly stochastic operator $A \neq E$ and a semigroup $(W^g, g \in \Pi)$ of doubly stochastic operators of $L^2(X, \mu)$ such that

(a) for every $g \in \Pi$, the following diagram commutes

$$\begin{array}{ccc} L^2(X, \mu) & \xrightarrow{A} & L^2(X, \mu) \\ U^{-g} \downarrow & & \downarrow W^g \\ L^2(X, \mu) & \xrightarrow{A} & L^2(X, \mu) \end{array}$$

(b) the function $g \rightarrow \|W_g f\|$, $g \in \mathbb{Z}^2$ is non-increasing and continuous for every $f \in L^2(X, \mu)$, i.e.,

$$\inf_{g \in A} \|W^g f\| = \sup_{g \in B} \|W^g f\|$$

for every gap (A, B) of \mathbb{Z}^2 ,

(c) for every $f \in L^2(X, \mu)$ with $f \geq 0$ and $Ef = 1$ the sequence $(\|W^g f - 1\|, g \in \Pi)$ is non-increasing and

$$W^g f \xrightarrow{w} 1 (W^g f \xrightarrow{s} 1)$$

when $g \rightarrow \infty$.

It is obvious that every ISR-action is also an IWR-action.

First, we shall give a necessary condition for a \mathbb{Z}^2 -action to be IWR (ISR).

A \mathbb{Z}^2 -action Φ is said to be weakly mixing if for every $A, B \in \mathcal{B}$

$$\lim_{n \rightarrow \infty} \frac{1}{n^2} \sum_{g \in R_n} |\mu(\Phi^g A \cap B) - \mu(A)\mu(B)| = 0$$

where

$$R_n = \{(p, q) \in \mathbb{Z}^2; 0 \leq p, q \leq n - 1\}, \quad n \geq 1$$

Similarly as in the case of \mathbb{Z} -actions one shows that Φ is weakly mixing iff the equality

$$U^{(m, n)} f = \lambda_1^m \lambda_2^n f, \quad (m, n) \in \mathbb{Z}^2, \quad |\lambda_1| = |\lambda_2| = 1, \quad f \in L^2(X, \mu), \quad f \neq 0$$

implies that f is a constant a.e.

Proposition 1. Every IWR \mathbb{Z}^2 -action is weakly mixing.

Proof. Let $f \in L^2(X, \mu)$, $f \neq 0$ and $\lambda_1, \lambda_2 \in \mathbb{T}$ be such that

$$U^{(m, n)} f = \lambda_1^m \lambda_2^n f, \quad (m, n) \in \mathbb{Z}^2$$

It follows from (a) that

$$W^{(m, n)} A f = A U^{-(m, n)} f = \bar{\lambda}_1^m \bar{\lambda}_2^n f, \quad (m, n) \in \Pi$$

If Φ is IWR then

$$W^{(m, n)} A f \xrightarrow{w} E A f = E f$$

i.e.,

$$\bar{\lambda}_1^m \bar{\lambda}_2^n f \xrightarrow{w} Ef$$

as $(m, n) \rightarrow \infty$.

But this is possible only in the case $\lambda_1 = \lambda_2 = 1$ and so $f = Ef$ a.e. This means that Φ is weakly mixing.

Remark 1. This result is to compare with a result of Misra⁽⁸⁾ which shows that an intrinsically random \mathbb{Z} -action is necessarily mixing.

Now we recall the concept of a rigid \mathbb{Z}^2 -action.

A \mathbb{Z}^2 -action Φ is said to be rigid⁽³⁾ if there exists a sequence $(m_k, n_k) \subset \mathbb{Z}^2$ such that $(m_k, n_k) \rightarrow \infty$ (i.e., $|m_k| + |n_k| \rightarrow \infty$) and

$$\lim_{k \rightarrow \infty} \mu(\Phi^{(m_k, n_k)} A \triangle A) = 0$$

for every $A \in \mathcal{B}$.

It is easy to show that Φ is rigid, iff for some sequence $(m_k, n_k) \rightarrow \infty$ and for every $f \in L^2(X, \mu)$,

$$\lim_{k \rightarrow \infty} U^{(m_k, n_k)} f = f$$

Simple examples of rigid actions are actions with discrete spectrum and a class of Gaussian actions with a singular spectral measure.^(3, 6)

Proposition 2. If a \mathbb{Z}^2 -action is ISR, then it is weakly mixing and not rigid.

Proof. The first part of Proposition 2 follows at once from Proposition 1.

Let us now suppose Φ is ISR and rigid. Let (m_k, n_k) be a sequence in \mathbb{Z}^2 such that $(m_k, n_k) \rightarrow \infty$ and $U^{(m_k, n_k)} f \rightarrow f$ for every $f \in L^2(X, \mu)$. One can assume that $(m_k, n_k) \in \Pi$, $k \geq 1$. It follows from (a) that

$$W^{(m_k, n_k)} \circ A = A \circ U^{-(m_k, n_k)}, \quad k \geq 1$$

Hence, taking the limit as $k \rightarrow \infty$, one obtains

$$\lim_{k \rightarrow \infty} W^{(m_k, n_k)}(Af) = Af$$

On the other hand the property (c) implies that

$$\lim_{k \rightarrow \infty} W^{(m_k, n_k)}(Af) = E Af = Ef$$

i.e., $A = E$ which is impossible.

Now we shall show our main results.

Theorem 1. Every K-action of \mathbb{Z}^2 on a Lebesgue space is IWR.

Proof. Let Φ be a K-action of \mathbb{Z}^2 , U the associated unitary representation in $L^2(X, \mu)$ and \mathcal{A} the σ -algebra satisfying (i)–(iv).

We put

$$A = E^{\mathcal{A}}, \quad W^g = A \circ U^{-g}, \quad g \in \mathbb{Z}^2$$

It is clear that A and W^g , $g \in \mathbb{Z}^2$ are doubly stochastic and $A \neq E$.

Let now $g = (m, n) \in \Pi$. First we shall show that

$$A \circ U^{-g} = W^g \circ A \tag{2}$$

Let $\mathcal{A}_g = \Phi^g \mathcal{A}$. It follows from (1) that

$$U^{-g} \circ E^{\mathcal{A}} \circ U^g = E^{\mathcal{A}_g} \tag{3}$$

Indeed, if T and S denote the standard automorphisms of Φ then (1) implies

$$\begin{aligned} U^{-g} \circ E^{\mathcal{A}} &= U_T^{-m} \circ U_S^{-n} \circ E^{\mathcal{A}} = U_{T^{-m}} \circ U_{S^{-n}} \circ E^{\mathcal{A}} \\ &= U_{T^{-m}} \circ E^{S^n \mathcal{A}} \circ U_{S^{-n}} \\ &= E^{T^m S^n \mathcal{A}} \circ U_{T^{-m}} \circ U_{S^{-n}} = E^{\mathcal{A}_g} U^{-g} \end{aligned}$$

Since $g \in \Pi$ the invariance of \mathcal{A} gives

$$E^{\mathcal{A}} \circ E^{\mathcal{A}_g} = E^{\mathcal{A}}$$

Combining this equality with (3) we get (2).

The equality (2) implies that the operators W^g , $g \in \Pi$ form a semi-group. Indeed, since the order \succ is compatible with the group operation in \mathbb{Z}^2 we have

$$\begin{aligned} W^{g+g'} &= A \circ U^{-(g+g')} = A \circ U^{-g} \circ U^{-g'} \\ &= W^g \circ A \circ U^{-g'} = W^g \circ W^{g'} \end{aligned}$$

$g, g' \in \Pi$.

Now we shall check (b). It is enough to prove that

$$\lim_{n \rightarrow +\infty} \|W^{(0,n)}f\| = \lim_{n \rightarrow -\infty} \|W^{(1,n)}f\|, \quad f \in L^2(X, \mu)$$

Applying (1) we have

$$\|W^{(0,n)}f\| = \|E^{\mathcal{A}} \circ U_S^{-n}f\| = \|U_S^{-n}E^{S^{-n}\mathcal{A}}f\| = \|E^{S^{-n}\mathcal{A}}f\|$$

and similarly

$$\|W^{(1,n)}f\| = \|U_T^{-1}U_S^{-n}E^{S^{-n}T^{-1}\mathcal{A}}f\| = \|E^{T^{-1}S^{-n}\mathcal{A}}f\|$$

Taking the limit in the above two equalities and applying the condition (ii') we obtain (b).

In order to prove (c) we take a function $f \in L^2(X, \mu)$ such that $f \geq 0$ and $E_S f = 1$.

It follows from (3) that

$$\begin{aligned} \|W^g f - 1\|^2 &= \|W^g f\|^2 - 2E(W^g f) + 1 \\ &= \|E^{\mathcal{A}} \circ U^{-g}f\|^2 - 1 \\ &= \|U^g \circ E^{\mathcal{A}} \circ U^{-g}f\|^2 - 1 \\ &= \|E^{\mathcal{A}_{-g}}f\|^2 - 1, \quad g \in \Pi \end{aligned} \quad (4)$$

If $g, g' \in \Pi$ and $g < g'$ then $\mathcal{A}_{-g} \supset \mathcal{A}_{-g'}$ and so

$$\|E^{\mathcal{A}_{-g}}f\| \geq \|E^{\mathcal{A}_{-g'}}f\|$$

i.e., the sequence $(\|W^g f - 1\|, g \in \Pi)$ is non-increasing.

It follows from (iv) that

$$\bigcap_{g \in \Pi} \mathcal{A}_{-g} = \bigcap_{m=0}^{\infty} T^{-m}\mathcal{A}_S = \mathcal{N}$$

Let $\varepsilon > 0$ be arbitrary. By (4) and the Doob martingale convergence theorem there exists $m_o \in \mathbb{N}$ such that, for every $m > m_o$ and $n \in \mathbb{Z}$, we have

$$\begin{aligned} \|W^{(m,n)}f - 1\|^2 &= \|E^{T^{-m}S^{-n}\mathcal{A}}f\|^2 - 1 \\ &\leq \|E^{T^{-m}\mathcal{A}_S}f\|^2 - 1 \\ &< \varepsilon^2 \end{aligned}$$

Hence it is clear that for $g = (m, n) \succ (m_o, n_o)$, where n_o is arbitrary, we have $\|W^{gf} - 1\| < \varepsilon$, i.e., $W^{gf} \xrightarrow{w} 1$ as $g \rightarrow \infty$.

We shall show in the following example that there it may exist semi-groups of doubly stochastic operators which satisfy (c) but don't satisfy (b).

Example. Let X be the infinite-dimensional torus $\mathbb{T}^{\mathbb{Z}}$ equipped with the product σ -algebra \mathcal{B} , μ be the product measure on X determined by the Lebesgue measure λ on \mathbb{T} and let φ be an irrational rotation on \mathbb{T} .

Let $\Phi = \Phi_\varphi$ be a \mathbb{Z}^2 -action on X generated by the following two measure-preserving automorphisms T and $S = S_\varphi$ of X defined by

$$(Tx)(n) = x(n + 1), \quad (Sx)(n) = \varphi x(n), \quad n \in \mathbb{Z}$$

i.e.,

$$\Phi^g = T^m \circ S^n, \quad (m, n) \in \mathbb{Z}^2$$

Let Q be a two-element generating partition for φ and let P be the partition of X induced by Q via the projection $\pi_0: X \rightarrow \mathbb{T}$ on the zero coordinate, i.e., $P = \pi_0^{-1}(Q)$.

Let \mathcal{A} be the smallest σ -algebra containing the partitions $\Phi^{(m,n)}P$, $(m, n) \in \mathbb{Z}^2$.

It is easy to see that

$$\mathcal{A} = P \vee P_S^- \vee (P_S)_T^-$$

where

$$P_S^- = \bigvee_{n=1}^{\infty} S^{-n}P, \quad P_S = \bigvee_{n=-\infty}^{+\infty} S^nP, \quad (P_S)_T^- = \bigvee_{m=1}^{\infty} T^{-m}P_S$$

It is clear that \mathcal{A} is invariant and

$$\bigvee_{g \in \mathbb{Z}^2} \Phi^g \mathcal{A} = \mathcal{B}$$

Moreover, in our case $S^{-1}\mathcal{A} = \mathcal{A}$. Indeed, since φ is a rotation the smallest σ -algebra containing the partitions $\varphi^{-n}Q$, $n \geq 1$ coincides with the σ -algebra \mathcal{F} of the Lebesgue measurable sets of \mathbb{T} . Hence $P \vee P_S^- = P_S$ and so $\mathcal{A} = P_S \vee (P_S)_T^- = S^{-1}\mathcal{A}$. Since μ is the product measure the

σ -algebras $T^n P_S$, $n \in \mathbb{Z}$ are independent. Therefore the Kolmogorov zero-one law implies

$$\bigcap_{g \in \mathbb{Z}^2} \Phi^g \mathcal{A} = \bigcap_{n=0}^{\infty} T^{-n}(P_S)_T^- = \mathcal{N}$$

We put $W^g = E^{\mathcal{A}} \circ U^{-g}$, $g \in \Pi$. One checks that (W^g) is a semigroup of doubly stochastic operators of $L^2(X, \mu)$ satisfying (c) in the same way as in the proof of Theorem 1. Now we shall show that (b) is not satisfied.

Let $f_0(z) = z$, $z \in \mathbb{T}$. The independence of P_S and $(P_S)_T^-$ gives

$$\begin{aligned} \|W^{(0,n)} f_0 \circ \pi_0\| &= \|E^{S^{-n}\mathcal{A}} f_0 \circ \pi_0\| \\ &= \|E^{P_S \vee (P_S)_T^-} f_0 \circ \pi_0\| \\ &= \|E^{P_S} f_0 \circ \pi_0\| = \|f_0 \circ \pi_0\| \\ &= \int_{\mathbb{T}} |z| \lambda(dz) = 1 \end{aligned}$$

On the other hand

$$\begin{aligned} \lim_{n \rightarrow \infty} \|W^{(1,n)} f\| &= \|E^{T^{-1}\mathcal{A}_S} f_0 \circ \pi_0\| \\ &= \|E^{T^{-1}P_S} f_0 \circ \pi_0\| = 0 \end{aligned}$$

i.e., (b) does not hold.

Let now Φ be a Bernoulli \mathbb{Z}^2 -action on (X, \mathcal{B}, μ) with finite entropy and let P be a finite measurable partition of X such that the partitions $\Phi^g P$, $g \in \mathbb{Z}^2$ are independent and generate \mathcal{B} .

Theorem 2. Any Bernoulli \mathbb{Z}^2 -action is ISR.

Proof. Let \mathcal{A} be the past σ -algebra generated by P , i.e., \mathcal{A} is the smallest σ -algebra containing P and the partitions $\Phi^g P$, $g \in \mathbb{N}$. Applying the standard automorphisms T and S of Φ we may write \mathcal{A} in the form

$$\mathcal{A} = P \vee P_S^- \vee (P_S)_T^-$$

where

$$P_S^- = \bigvee_{n=1}^{\infty} S^{-n} P, \quad P_S = \bigvee_{n=-\infty}^{+\infty} S^n P$$

It is well known⁽⁵⁾ that \mathcal{A} satisfies the conditions (i')–(iv'). Let W^g , $g \in P$ be the doubly stochastic operator defined in the proof of Theorem 1.

It is enough to show that

$$W^g f \xrightarrow{s} 1 \quad \text{as } g \rightarrow \infty$$

for every $f \in L^2(X, \mu)$, $f \geq 0$ and $Ef = 1$. We denote by \mathcal{B}_n the σ -algebra generated by all partitions of the form $\Phi^h P$, $h \notin R_n^*$, where

$$R_n^* = \{(i, j) \in \mathbb{Z}^2; -n \leq i, j \leq n\}, \quad n \geq 1$$

By the Kolmogorow zero-one law we have

$$\bigcap_{n=1}^{\infty} \mathcal{B}_n = \mathcal{A}$$

Let $\varepsilon > 0$ be arbitrary. By the Doob martingale convergence theorem there exists n_0 such that

$$\|E^{\mathcal{B}_n} f\|^2 < 1 + \varepsilon \quad \text{for } n > n_0$$

If $g \in P \setminus R_n$, $n > n_0$ then $\mathcal{A}_{-g} \subset \mathcal{B}_n$ and so

$$\|W^g f - 1\|^2 = \|E^{\mathcal{A}_{-g}} f\|^2 - 1 \leq \|E^{\mathcal{B}_n} f\|^2 - 1 < \varepsilon$$

This means that

$$W^g f \xrightarrow{s} 1 \quad \text{as } g \rightarrow \infty$$

Remark 2. It is not clear whether any K -action of \mathbb{Z}^2 is ISR.

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